



## TRANSMITTAL FORM

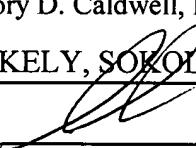
(to be used for all correspondence after initial filing)

|  |    |                        |                 |
|--|----|------------------------|-----------------|
|  |    | Application No.        | 09/895,057      |
|  |    | Filing Date            | June 28, 2001   |
|  |    | First Named Inventor   | Curtis E. Jutzi |
|  |    | Art Unit               | 2134            |
|  |    | Examiner Name          | Tran, Ellen C.  |
| Total Number of Pages in This Submission | 65 | Attorney Docket Number | 42390P11869     |

### ENCLOSURES (check all that apply)

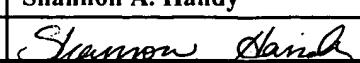
|  |  |   |
|--|--|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Reply <ul style="list-style-type: none"> <li><input type="checkbox"/> After Final</li> <li><input type="checkbox"/> Affidavits/declaration(s)</li> </ul><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input checked="" type="checkbox"/> Information Disclosure Statement <ul style="list-style-type: none"> <li><input checked="" type="checkbox"/> PTO/SB/08</li> </ul><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application <ul style="list-style-type: none"> <li><input type="checkbox"/> Basic Filing Fee</li> <li><input type="checkbox"/> Declaration/POA</li> </ul><br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition<br><br><input type="checkbox"/> Petition to Convert a Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Request for Refund<br><br><input type="checkbox"/> CD, Number of CD(s) <ul style="list-style-type: none"> <li><input type="checkbox"/> Landscape Table on CD</li> </ul> | <input type="checkbox"/> After Allowance Communication to TC<br><br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">             -Return Postcard<br/>             -Check No.: 2444 for \$180<br/>             -Copies of 3 Office actions           </div> |
| Remarks  |  |   |

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |   |
|-------------------------|---|
| Firm or Individual name | Gregory D. Caldwell, Reg. No. 39,926<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  |
| Signature               |  |
| Date                    | December 1, 2006  |

### CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

|                       |   |      |                  |
|-----------------------|---|------|------------------|
| Typed or printed name | Shannon A. Handy  |      |                  |
| Signature             |  | Date | December 1, 2006 |

Based on PTO/SB/21 (09-04) as modified by Blakely, Sokoloff, Taylor & Zafman (ndc) 10/12/2006.  
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



# FEES TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** **(\\$)**

| Complete if Known    |                 |
|----------------------|-----------------|
| Application Number   | 09/895,057      |
| Filing Date          | June 28, 2001   |
| First Named Inventor | Curtis E. Jutzi |
| Examiner Name        | Tran, Ellen C.  |
| Art Unit             | 2134            |
| Attorney Docket No.  | 42390P11869     |

## METHOD OF PAYMENT (check all that apply)

Check  Credit card  Money Order  None  Other (please identify): \_\_\_\_\_

Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

Charge fee(s) indicated below  Charge fee(s) indicated below, except for the filing fee  
 Charge any additional fee(s) or underpayment of fee(s)  Credit any overpayments  
 under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

## FEE CALCULATION

Large Entity      Small Entity

| Fee Code                  | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid   |
|---------------------------|----------|----------|----------|--|------------|
| 1051                      |          | 2051     |          | Surcharge - late filing fee or oath                              |            |
| 1052                      |          | 2052     |          | Surcharge - late provisional filing fee or cover sheet.          |            |
| 2053                      |          | 2053     |          | Non-English specification  |            |
| 1251                      |          | 2251     |          | Extension for reply within first month                           |            |
| 1252                      |          | 2252     |          | Extension for reply within second month                          |            |
| 1253                      |          | 2253     |          | Extension for reply within third month                           |            |
| 1254                      |          | 2254     |          | Extension for reply within fourth month                          |            |
| 1255                      |          | 2255     |          | Extension for reply within fifth month                           |            |
| 1401                      |          | 2401     |          | Notice of Appeal   |            |
| 1402                      |          | 2402     |          | Filing a brief in support of an appeal                           |            |
| 1403                      |          | 2403     |          | Request for oral hearing   |            |
| 1451                      | 1,510    | 2451     | 1,510    | Petition to institute a public use proceeding                    |            |
| 1460                      |          | 2460     |          | Petitions to the Commissioner                                    |            |
| 1807                      |          | 1807     |          | Processing fee under 37 CFR 1.17(q)                              |            |
| 1806                      |          | 1806     |          | Submission of Information Disclosure Stmt                        |            |
| 1809                      |          | 1809     |          | Filing a submission after final rejection (37 CFR § 1.129(a))    |            |
| 1810                      |          | 2810     |          | For each additional invention to be examined (37 CFR § 1.129(b)) |            |
| Other fee (specify) _____ |          |          |          |  |            |
|                           |          |          |          | SUBTOTAL (2) <b>(\\$)</b>  | <b>180</b> |

## SUBMITTED BY

Complete (if applicable)

|                   |                     |                                      |        |           |                |
|-------------------|---------------------|--------------------------------------|--------|-----------|----------------|
| Name (Print/Type) | Gregory D. Caldwell | Registration No.<br>(Attorney/Agent) | 39,926 | Telephone | (503) 439-8778 |
| Signature         |                     |                                      |        | Date      | 12/01/06       |



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the Application of:

CURTIS E. JUTZI, ET AL.

Application No.: 09/895,057

Filed: June 28, 2001

For: **AN APPARATUS AND METHOD FOR  
ENABLING SECURE CONTENT  
DECRYPTION WITHIN A SET-TOP BOX**

Art Group: 2134

Examiner: Tran, Ellen C.

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted after mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

12/05/2006 HGUTEMA1 00000023 09895057

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The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

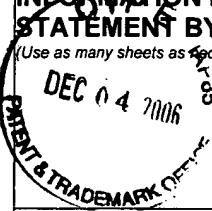
Date: December 01, 2006

~~Gregory D. Caldwell, Reg. No. 39,926~~

12400 Wilshire Boulevard, 7th Floor  
Los Angeles, CA 90025  
Telephone: (503) 439-8778

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Shannon Handy 12-01-06  
Shannon A. Handy Date

|  |  |                              |               |
|--|--|------------------------------|---------------|
| Substitute for form 1449A/PTO<br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(Use as many sheets as necessary) |  | <i>Complete if Known</i>     |               |
|  <p>DEC 04 2006</p>                           |  | <b>Application Number</b>    | 09/895,057    |
|  |  | <b>Filing Date</b>           | June 28, 2001 |
|  |  | <b>First Named Inventor</b>  | Jutzi, Curtis |
|  |  | <b>Group Art Unit</b>        | 2134          |
|  |  | <b>Examiner Name</b>         | Tran, Ellen   |
| Sheet 1 of 1   |  | Attorney Docket No: 42P11869 |               |

**US PATENT DOCUMENTS**

| Examiner Initial * | USP Document Number | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | Filing Date If Appropriate |
|--------------------|---------------------|------------------|---|-------|----------|----------------------------|
|                    | US-2001/0024239     | 09/27/2006       | Feder, Meir                                     |       |          |                            |
|                    | US-4,115,855        | 09/19/1978       | Chiba, Takashi                                  |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| Examiner Initials* | Foreign Document No | Publication Date | Name of Patentee or Applicant of cited Document | Class | Subclass | T <sup>2</sup> |
|--------------------|---------------------|------------------|---|-------|----------|----------------|
|--------------------|---------------------|------------------|---|-------|----------|----------------|

**OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials* | Cite No <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|--------------------|----------------------|---|----------------|
|                    |                      | U.S. Office Action, Application No.: 09/823,566, Attorney Docket No.: 42P10859.,(10/23/2006),1-8  |                |
|                    |                      | U.S. Office action, Application No.: 10/044,544, Attorney Docket No.: 42P11540,(10/18/06),1-26  |                |
|                    |                      | U.S. Office Action, Application No.: 10/044,546, Attorney Docket No.: 42P11551,(11/15/06),1-23  |                |

**EXAMINER****DATE CONSIDERED**